PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

James

Serial No.: 10/735,479

Filed: December 12, 2003

For: Remote DC Plant Monitoring System

Confirmation No.: 2468

Group Art Unit: 2863

Examiner: Pretlow, Demetrius R.

Docket No.: 190250-1530

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

☐ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97(c) together with either a:
☐ Statement Under 37 C.F.R. 1.97(e), or
☐ a $180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)

☒ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a $180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of $. Please charge $ to deposit account 20-0778. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

As cited in attached form PTO-1449, Applicant made and used a client-server software package which was operable to remotely monitor only AC plant characteristics more than one year prior to the filing of the present application. The client-server based package enabled the user to remotely monitor the AC plant characteristics, and perform a remote startup of the AC plant.

As cited in attached form PTO-1449, Applicant made and used a backup generator having a dialup connection, which was operable to reply to requests for data from the AC plant. The dialup connection was made and used more than one year prior to the filing of the present application. The dialup connection was an ASCII interface, could only manage one location at a time, and required that the technician call the number, connect to the AC plant, and inquire manually for each item of information desired. The dialup connection did not allow for remote startup of the AC plant, nor could a technician determine whether the AC plant was running without calling the site to inquire.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

It is believed that no fees are required to accompany this information disclosure statement, since the disclosed statements and references have been previously provided and the present submission is provided to correct informalities in a prior submission, such as inclusion of dates to accompany the enclosed statements and adding a leading zero to the citation for the enclosed reference. However, in the event that additional fees are necessary to allow consideration of this paper, such fees required therefor (including fees for a request for continued examination) are hereby authorized to be charged to deposit account no. 20-0778.

Respectfully Submitted,

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By: _Charles W. Griggers, Reg. No. 47,283_

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Form PTO-1449			Attorney Docket No. 190250-1530		Serial No. 10/735,479	
INFORMATION DISCLOSURE CITATION *(Use several sheets if necessary)*			Applicant **James**			
			Filing Date **December 12, 2003**		Group **2863**	

U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		2004/0102872	5/2004	Schick, et al			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, etc.)*

	A	Applicant's statement regarding client-server based software application in Information Disclosure Statement, where the client-server based software application was in existence by at least the date November 12, 2002
	B	Applicant's statement regarding dialup-connection for retrieving backup generator data in Information Disclosure statement where the dialup-connection software application was in existence by at least the date November 12, 2002

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:	DATE CONSIDERED:

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE